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PTO/SB/01 (03-01)
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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration
Submitted
with Initial
Filing

OR ☐ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

K2475.0001/P001

First Named Inventor

Bart Kavanaugh

COMPLETE IF KNOWN

Application Number

60/246,755-1263

Filing Date

November 9, 2000

Group Art Unit

N/A

Examiner Name

Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SYSTEM FOR FUNDING, ANALYZING AND MANAGING LIFE INSURANCE POLICIES
FUNDED WITH ANNUITIES**

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application No.

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|---|---------|-------------------------------------|--|-------------------------------------|-------------------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | | | | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | <input type="checkbox"/> | <input type="checkbox"/> |



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to:



Customer Number
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OR



Correspondence address below

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State **DC**

ZIP **20037-1526**

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:



A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

Bart

Family Name
or Surname

Kavanaugh

Inventor's
Signature

Date

11/8/01

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Mailing
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City **El Segundo**

State **CA**

ZIP **90245-3508**

Country

NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any])

Family Name
or Surname

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing
Address:

City

State

ZIP

Country



Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Provisional Application of:
Bart Kavanaugh

Application No.: 60/246,755-1263

Group Art Unit: N/A

Filed: November 9, 2000

Examiner: Not Yet Assigned

For: **SYSTEM FOR FUNDING, ANALYZING AND
MANAGING LIFE INSURANCE POLICIES
FUNDED WITH ANNUITIES**

POWER OF ATTORNEY

Commissioner for Patents
Washington, DC 20231

Dear Sir:

I, Bart Kavanaugh, owner of the entire right title and interest in the above-identified application, hereby appoint the members of the firm of Dickstein Shapiro Morin & Oshinsky LLP, a firm composed of:

| | | | | | |
|---------------------|--------|----------------------------|--------|----------------------|--------|
| Gary M. Hoffman | 26,411 | Ryan H. Flax | 48,141 | Jeremy A. Cubert | 40,399 |
| Thomas J. D'Amico | 28,371 | John C. Luce | 34,378 | Gianni Minutoli | 41,198 |
| Donald A. Gregory | 28,954 | Edwin Oh | 45,319 | Michael Bergman | 42,318 |
| James W. Brady, Jr. | 32,115 | William E. Powell, III | 39,803 | Mark E. Strickland | 45,138 |
| Jon D. Grossman | 32,699 | Patrick T. Skacel | 47,948 | Salvatore P. Tamburo | 45,153 |
| Mark J. Thronson | 33,082 | Gary L. Veron | 39,057 | Peter Veytsman | 45,920 |
| Eric Oliver | 35,307 | Mialeeka C. Williams-Bibbs | 48,037 | Christopher S. Chow | 46,493 |
| Laurence E. Fisher | 37,131 | Christopher Mark Tanner | 41,518 | | |

as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

The assignee certifies that it has reviewed the assignment and to the best of the assignee's knowledge and belief, title is in the assignee.

Please direct all correspondence regarding this application to the following: